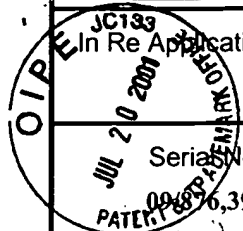


#2/11-1504/CO  
06-21-01

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14701



In Re Application Of: Syuuichi KARIYAKAZKI

Serial No.  
004876,396

Filing Date  
June 7, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: SEMICONDUCTOR DEVICE

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14701

In Re: Application Of: Syuuichi KARIYAKAZKI

Serial No.  
09/876,396

Filing Date  
June 7, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: SEMICONDUCTOR DEVICE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on July 17, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Janet Grossman*  
Signature of Person Mailing Correspondence

Janet Grossman

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

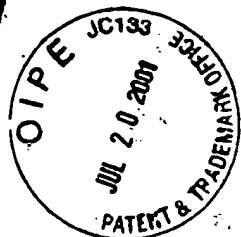
Dated: July 17, 2001

*Paul J. Esatto, Jr.*  
Signature

Paul J. Esatto, Jr., Reg. No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

PJE:ahs

CC:



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	<b>Syuuichi KARIYAZAKI</b>	<b>Docket:</b>	<b>14701</b>
<b>Serial No:</b>	<b>09/876,396</b>	<b>Examiner:</b>	<b>Unassigned</b>
<b>Filed:</b>	<b>June 7, 2001</b>	<b>Group Art Unit:</b>	<b>Unassigned</b>
<b>For:</b>	<b>SEMICONDUCTOR DEVICE</b>	<b>Dated:</b>	<b>July 17, 2001</b>

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.


1. Japanese Laid-Open Publication No. 9-69568, dated March 11, 1997, together with an English-language Abstract.

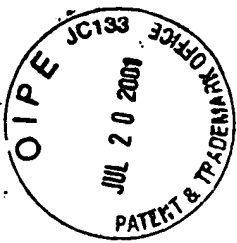
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 17, 2001.

Dated: July 17, 2001

  
Janet Grossman



Applicant is submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "P. Esatto, Jr.".

Paul J. Esatto, Jr.

Registration No.: 30,749

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